

LRW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re Application of:

Yoshiaki TANAKA et al.

Serial No. 10/767,076

Filed: January 30, 2004

For: RECORDING MEDIUM AND  
SIGNAL PROCESSING  
APPARATUS

Art Unit:

Examiner:

Atty Docket: 0102/0238

**INFORMATION DISCLOSURE STATEMENT**  
**FILED PURSUANT TO 37 CFR 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. The foreign art listed below is attached herewith for the examiner's consideration. The examiner is respectfully requested to contact the undersigned if copies of U.S. patents needed.

These documents are being submitted

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))

☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

☐ concurrently with the filing of the RCE pursuant to 37 CFR 1.114

OR

☐ following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)

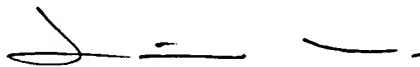
☐ The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))

☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the \_\_\_\_\_ Patent Office in a counterpart application. (37 CFR §1.97(e)(1))

☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



\_\_\_\_\_  
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Date: Dec 22, 2006

## FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

Atty Docket  
0102/0238Serial No.  
10/767,076Applicant  
Yoshiaki TANAKA et al.Filing Date  
January 30, 2004

Group Art Unit

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date
AA	6,636,474	10/21/03	Tanaka et al.			07/13/98
AB	6,738,561	05/18/04	Tanaka et al.			11/18/98
AC	6,222,983	04/24/01	Heo			03/15/00
AD	5,953,290	09/14/99	Fukuda et al.			10/21/96
AE	5,313,443	05/17/94	Iitsuka			10/21/92
AF	6,262,777	07/17/01	Brewer et al.			10/09/97
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-Class	Translation
AL 07-105630	04/21/95	Japan			English Abstract
AM					
AN					
AO					
AP					

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	
AS	
AT	

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.